Application No. 09/616,276



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Applicant: Evan E. Dussia

**Examiner: V.Frenel** 

Serial No.: 09/616,276

Art Unit:

3626

Filed: July 14, 2000

For: Computerized Method And System

For Obtaining Storing And Accessing

**Medical Records** 

Mail Stop Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED
MAR 1 0 2004
GROUP 3600

PETITION TO OBTAIN WITHDRAWAL OF HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION UNDER 37 CFR 1.181(A)(3) AND SECTION 711.03(c) (II) OF THE MPEP

Sir:

On June 26, 2003, applicant's attorney received a final office action (the "Final Office Action") dated as mailed June 6, 2003. On August 6, 2003, within two months of mailing the Final Office Action, applicant's attorney faxed a Response (the "Response") to the Examiner, Mr. Franel Vanel. A transmission verification for this fax is attached hereto as Exhibit A. Under the PTO procedures, an advisory action should have been set to Applicant's attorney if the response did not place the application in form for allowance. Since such an Advisory Action was not received, applicant's attorney assumed that the application was allowed. On or a bout December 8, 2003, the Examiner called applicant's attorney to ask if a Response had been filed. Applicant's attorney informed the Examiner that the Response had been faxed to the Examiner on August 6, 2003. Upon further discussion, the Examiner concluded that the faxed Response had been lost by the PTO and agreed to accept a re-fax the

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Response. Applicant's attorney re-faxed the Response on December 8, 2003 (see Exhibit B for this transmission receipt).

According to applicant's attorney's telephone conversation with the Examiner, applicant's attorney understood that the Response would be treated by the Examiner as timely filed for provoking an advisory action because the Response had previously been faxed within two months from mailing of the Final Office Action. Because it appeared that the PTO had lost the Response timely faxed on August 6, 2003, and the Examiner did not indicate that a re-faxed response would not be treated as being timely filed, the applicant's attorney believed that the re-faxed Response would "reset" the clock to the date of refaxing the response - December 8, 2003. Therefore, the applicant's attorney believed that he would have at least four months (six months, minus two months from the reset filing date of December 8, 2003), or April 8, 2004, to respond to an advisory action provoked by the Response. Additionally, the applicant's attorney believed that if an advisory action was not mailed by January 8, 2004, then applicant's attorney would have three months from the date of mailing of the advisory action to file a response to the advisory action without having to pay an extension fee.

The applicant's attorney had not received an advisory action by February 25, 2004, when the Examiner contacted the applicant's attorney by telephone. The Examiner informed the applicant's attorney, that a Notice of Abandonment had been mailed on February 23, 2004 for failure to prosecute the above referenced application. The Examiner's reason for sending the Notice of Abandonment was that the applicant's attorney had failed to respond to an Advisory Action (the "Advisory Action"), mailed December 24, 2003, within six months of mailing the Final Office Action on June 6, 2003, as required by 35 USC 133. However, the applicant's attorney did not receive and has no record of receiving this Advisory Action.

Therefore, the applicant's attorney hereby submits, according to the showing requirements of MPEP Section 711.03(c) II., that the Office Action, specifically, the Advisory Action, was not received by the applicant's attorney. In addition, a search of the file jacket and docket records indicates that the Advisory Action was not received. A copy of the docket record where the non-received Advisory Action would have been entered, had it been received and docketed, is attached hereto as Exhibit C.

It appears to applicant's attorney that the Advisory Action may have been mailed to a prior correspondence address that should have been updated according to a Request for Change of Correspondence Address (the "Request") submitted on October 25, 2002, and attached hereto as Exhibit D. The Patent and Trademark Office acknowledged receipt of the Request by date stamping and returning a postcard provided with the Request. A copy of the postcard is attached hereto as Exhibit E.

For the foregoing reasons, the applicant's attorney respectfully requests that the Notice of Abandonment be withdrawn and that a copy of the Advisory Action be mailed to the applicant's attorney at the proper correspondence address. In addition, the applicant's attorney respectfully requests that the refaxed Response be treated as timely filed two months from mailing of the Final Office Action, and that the period for reply be reset to permit a timely response to the Advisory Action.

Respectfully submitted,

James/H. Beusse

Reg /No. 27,115

Beusse Brownlee Wolter Mora & Maire, P.A.

√390 N. Orange Avenue, Suite 2500

Orlando, FL 32801 (407) 926-7701 PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

15		tent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction of information unless it displays a valid OMB control number.
	Application Number	09/616,276
	Filing Date	07/14/2000
	First Named Inventor	Evan E. Dussia
	Art Unit	3626
	Examiner Name	V. Frenel
	Attorney Docket Number	065489-001

Fee Transmittal Form  ENCLOSURES (Check all that apply)  After Allowance communication to Technology Center (TC)	
Fee Attached  Amendment/Reply  After Final  After Final  Affidavits/declaration(s)  Extension of Time Request  Information Disclosure Statement  Certified Copy of Priority  Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  Under 37 CFR 1.52 or 1.53  Licensing-related Papers  Appeal Communication to Board of Appeals and Interferences Appeal Communication to Comert to a Provisional Application Proprietary Information Status Letter Understyneous (please Identify below): 1. Postcard 2. Exhibits A-E  PECELY  MAR 1 0 2  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	004
Firm or Individual name Signature  Date  Beusse Brownlee Wolter Mora & Maire, P.A.  James H. Beusse  Date  March 3, 2004	
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service w sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 the date shown below.	
Typed or printed name Shirley Goff	
Signature Date 03/03/2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **CERTIFICATE OF MAILING**

I HEREBY CERTIFY that this <u>Petition</u> is being mailed to: Commissioner for Patents, Mail Stop Petition, P.O Box 1450, Alexandria, VA 22313-1450 on this <u>3rd</u> day of March, 2004.

Shirley Goff

## MEMORY TRANSMISSION REPORT

### **EXHIBIT A**

TIME

: 08-06-03 16:20

TEL NUMBER1: +4079267720

: BEUSSE BROWNLEE ET AL

FILE NUMBER

: 802

DATE

: 08-06 16:14

TO

: 917033057687

DOCUMENT PAGES

: 15

START TIME

: 08-06 16:14

END TIME

: 08-06 16:20

SENT PAGES

: 15

FILE NUMBER : 802

\*\*\* SUCCESSFUL TX NOTICE \*\*\*

# BEUSSE BROWNLEE BOWDOIN & WOLTER, P.A.

F	ACSIMILE TRANSMITTAL SHEET		
ro . Vanel Frenel Art Unit 3626	FROM.  James H. Beusse		
U.S. Patent Office	August 6, 2003		
703-305-7687	TOTAL NO. OF PAGES INCLUDING COVER.		
PHONE NUMBER:	sender's reference number: 065489-001		
Response Under 37 CFF 09/616,276	1.116 for		
Z URGENT	□ PLEASE COMMENT □ PLEASE REPLY □ PLEASE RECYCLE		

Response to Final Office Action, dated as mailed June 6, 2003.

#### MEMORY TRANSMISSION REPORT

: 645

## **EXHIBIT B**

TIME : 12-08-03 14:22 TEL NUMBER1: +4079267720

NAME : BEUSSE BROWNLEE ET AL

FILE NUMBER

DATE : 12-08 14:17

917033057687 TO

DOCUMENT PAGES 16

START TIME : 12-08 14:17

: 12-08 14:22 END TIME

SENT PAGES 16

\*\*\* SUCCESSFUL TX NOTICE \*\*\* FILE NUMBER : 645

BEUSSE, BROWNLEE, WOLTER, MORA & MAIRE, P.A.

) (C	1- ISCAM
Vanel Frenel	Dave Sartor for James H. Buesse
Art Unit 3626	
CUMPANY	DATE.
U.S.P.T.O.	December 8, 2003
PAX NUMBER	PUPAL NO OF PAGES INCLUDING COVER
(703) 305-7687	16
PHONE NUMBER	SENDER'S REFERENCE NUMBER
	065489-001
ni.	YOUR RIPERINGS NUMBER
RE-FAX of Response Under CFR 1.116 for 09/616,276	37

Per relecon with Mr. Frenel on December 8, 2003, attached please find:

- Memory Transmission Report for a Response to Final Office Action previously faxed on August 6, 2003.
   Copy of the Response to Final Office Action previously faxed on August 6, 2003.

Patent Information Print 8/15/2003 EXHIBIT C Docket No 065489-001 Patent No 09/616,276 Application # Country United States Parent No Regular Case Type Original Filing Parent Country Relation Type National Sub Stat Filing Type Status Filed Filing No Total Claims James H. Beusse Attorney Ind. Claims Agent Medisyn Systems Expiration Dt · Client\Division Assignment Recorded Current Owner Assigned Associate Prev Own Oper Grp Next Tax Dt Ag Ref No Tax Base Dt Client Docket No. Publication Dt Product Line Grant Dt N ., Verified 7/14/2000 Application Dt Customer U9PP Parent Grant Dt Create Dt 10/12/2001 Parent Filing Dt 8/15/2003 Update Dt Sub Stat Dt Update Tm 1134 First Filing Dt 2/28/2000 Update User SG Conv Type Update Type .Publication # \*\*Actions\*\* 7/17/2001 No Foreign Filing Comp Dt Action 8/28/2000 Act Due Date Resp Atty #1 James H. Beusse Resp Atty #2 Taken Dt DeadLn Dt 10/12/2001 Comp Dt Action IDS Not Applicable James H. Beusse Act Due Date 10/14/2000 Resp Atty #1 Resp Atty #2 Taken Dt DeadLn Dt 10/17/2000 Comp Dt Notice of missing parts - 1 Action James H. Beusse Act Due Date 11/5/2000 Resp Atty #1 Taken Dt Resp Atty #2 DeadLn Dt 1st Office Action Comp Dt 12/3/2002 Action Act Due Date 11/7/2002 Resp Atty #1 James H. Beusse Taken Dt Resp Atty #2 DeadLn Dt Response to 1st office actio Comp Dt 2/7/2003 Action James H. Beusse Act Due Date 2/7/2003 Resp Atty #1 Taken Dt Resp Atty #2 DeadLn Dt Act Notes Did not file response. Will file in March with 1-month exte nsion of time. Response first OA - 1st exte Comp Dt 3/10/2003 Action Act Due Date 3/7/2003 Resp Atty #1 W. David Sartor Taken Dt 3/5/2003 Resp Atty #2 DeadLn Dt Act Notes File Response with 1 month extension of time.

Action Response to provoke advisory Act Due Date 8/6/2003

6/6/2003

Action

Taken Dt

DeadLn Dt

Act Due Date

Final Rejection

Comp Dt Resp Atty #1

Resp Atty #1

Resp Atty #2

Comp Dt

8/6/2003 W. David Sartor

James H. Beusse

7/6/2003

	**Text**		
Title COMPUTERIZED METHOD CCESSING MEDICAL REC	AND SYSTEM FOR OBTAINING, STORING ORDS	, AND A	
	**Title**	•	
Inv Name	Dussia, Evan E.	Assigned	10/6/2000
	**Inventor	g**	
DeadLn Dt		••	
Taken Dt	,,	Resp Atty #2	
Action Act Due Date	Final rejection deadline 12/6/2003	Comp Dt Resp Atty #1	
DeadLn Dt			
Taken Dt		Resp Atty #2	
Act Due Date	12/6/2003	Resp Atty #1	
Action	Notice of Appeal Due	Comp Dt	
Taken Dt DeadLn Dt		Resp Atty #2	
Action Act Due Date	Appeal due - 2nd extension 11/6/2003	Comp Dt Resp Atty #1	
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Taken Dt DeadLn Dt		Resp Atty #2	
Action Act Due Date	Final rejection - 2nd extens 11/6/2003	Comp Dt Resp Atty #1	
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Taken Dt		Resp Atty #2	
Act Due Date	10/6/2003	Resp Atty #1	
Action	Final rejection - 1st extens	Comp Dt	
Taken Dt DeadLn Dt		Resp Atty #2	
Act Due Date	10/6/2003	Resp Atty #1	
Action	Appeal due - 1st extension	Comp Dt	
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Taken Dt	37072003	Resp Atty #2	
Action Act Due Date	Appeal due 9/6/2003	Comp Dt Resp Atty #1	
DeadLn Dt .	•		
Taken Dt	, , , 2005	Resp Atty #2	
Action Act Due Date	Final rejection due ' 9/6/2003	Comp Dt Resp Atty #1	
Set I on	Timel medication due .	C 24	
DeadLn Dt			

Resp Atty #2

Txt Type

Taken Dt

General Remarks

PROVISIONAL APPLICATION FILED 2/28/2000-60/185,577

Assignment recorded 10/20/2000-011174/0692

Txt Type

General Remarks

Text

Filed Status Inquiry October 25, 2002.

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PTO/SB/122 (10-00)

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## **CHANGE OF CORRESPONDENCE ADDRESS** Application

Address to:

**Assistant Commissioner for Patents** Washington, D.C. 20231

Application Number	09/616,276		
Filing Date	07/14/2000		
First Named Inventor	Evan E. Dussia		
Group Art Unit	2761		
Examiner Name			
Attorney Docket Number	065489-001		

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Firm <i>or</i> Individual Name			***************************************	
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	ventor. f record of the entire interest. under 37 CFR 3.73(b) is enclo	sed. (Form PTO/s		MAR 1 0 2004
Attorney or Agent of record.				GROUP 380
Registered   executed oa	practitioner named in the appl ath or declaration. See 37 CFF	ication transmitta R 1.33(a)(1). Reg	l letter in an app istration Numbe	lication without an
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October 18, 2002				
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Commissioner for Patents Washington, D.C. 20231

Re Medisyn Systems 065489-001 — Date: October 25, 2002

Kindly acknowledge receipt of accompanying papers by placing your mailroom stamp hereon:

- 1. Transmittal Form
- 2. Change of Correspondence Address
- 3. Status Inquiry

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